



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Number: 5,963,923
Issued: October 5, 1999
Name of Patentee: GARBER

Title of Invention: SYSTEM AND METHOD FOR TRADING HAVING A PRINCIPAL
MARKET MAKER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT
FOR APPLICANT'S MISTAKE (37 C.F.R. § 1.323)**

1. It is noted that an error appears in the claims of this patent, as more fully described below. It occurred in good faith. Correction thereof does not involve such changes in the patent as would constitute new matter or would require re-examination. A certificate of correction is requested.
2. Attached hereto, in duplicate, is PTO/SB/44 (also Form PTO-1050), with at least one copy being suitable for printing.
3. Certain claims include typographical errors. Claims 12, 13, 14, 15 and 16 each read "The electronic market defined in claim 10," but should read " The electronic market defined in claim 11." No new matter has been added.
4. Please send the Certificate to:

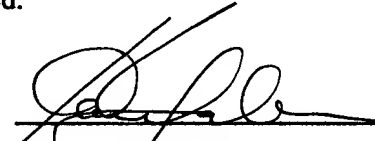
Name: HOWARD GARBER
Address: 2305 N. COMMONWEALTH AVE.
CHICAGO, IL 60614

5. Please pay the fee required by 37 C.F.R. § 1.20(a), as follows:

A check in the amount of \$100.00 is enclosed.

Date:

October 16, 2006


Howard B. Garber
Patentee

2305 N. Commonwealth Ave.
Chicago, IL 60614

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 5,963,923
DATED : October 5, 1999
INVENTOR(S) : Garber

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In claim 12, line 1, replace the numeral "10" with --11--.
In claim 13, line 1, replace the numeral "10" with --11--.
In claim 14, line 1, replace the numeral "10" with --11--.
In claim 15, line 1, replace the numeral "10" with --11--.
In claim 16, line 1, replace the numeral "10" with --11--.

MAILING ADDRESS OF SENDER:

Howard B. Garber
2305 N. Commonwealth Ave.
Chicago, IL 60614

PATENT NO. 5,963,923

No. of additional copies

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This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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